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# PRAKTYCZNE ALGORYTMY UMAC Z WYKORZYSTANIEM KRYPTO-KODÓW

**Streszczenie:** W artykule przeprowadzono rozważania o zakresie użytkowania ulepszonego algorytmu UMAC w kryptografii postkwantowej. Rozważany algorytm oparty o formowanie podłoża (substrate) na trzeciej warstwie generowania kodu haszowania za pomocą systemu 'McElise crypto-code' (systemu krypto-kodowania) opartego na kodach eliptycznych. W artykule omawiany jest praktyczny algorytm generowania kodów typu "hash" (hash-kodów) z zastosowaniem kaskadowego hash-kodu UMAC, gdzie dodatkowo zastosowano krypto-kod McElise opartego na kodach eliptycznych. Zastosowanie krypto-kodów umożliwia zachowanie uniwersalności hash-kodu na wyjściu algorytmu, a to z kolei, umożliwia zastosowanie tego podejścia w wielkich bazach danych jako identyfikatora. Dodatkowo, biorąc pod uwagę zastosowanie wielkoskalowych komputerów kwantowych, eksperci organizacji US NIST uważają systemy krypto-kodów jako jedne z najbardziej efektywnych postkwantowych algorytmów kryptograficznych. Takie ujęcia metod kodowania umożliwia wprowadzenie modyfikowanego UMAC do różnorodnych modyfikacji struktur krypto-kodów oraz zapewnia autentyfikację profilów o różnej ważności (sile) oraz długości.

Słowa kluczowe: algorytm haszowania UMAC, budowa krypto-kodów McElice, kody eliptyczne

# PRACTICAL UMAC ALGORITHMS BASED ON CRYPTO CODE DESIGNS

**Summary:** A study was carried out on the use of an improved UMAC algorithm in postquantum cryptography based on the formation of a substrate on the third layer of the hash code generation by the McElise crypto-code system on elliptic codes. The paper considers a practical algorithm for generating a hash code based on an example implementation of a cascading UMAC hash algorithm with the McElise crypto-code construction on elliptic codes. Using a crypto-code design allows you to save the universality of the hash code at the output of the algorithm, which allows its use in large databases as an identifier. In addition, in the context of

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the implementation of a full-scale quantum computer, US NIST experts consider crypto-code systems as one of the effective post-quantum cryptography algorithms. This approach allows you to implement the UMAC modification on various modifications of crypto-code structures and to ensure the formation of authentication profiles of different strength and length

Keywords: UMAC hashing algorithm, McElice crypto code constructions, elliptic codes

## 1. Introduction

An important direction in the development of post-quantum cryptography today is crypto-code systems (designs) (CCS). Their formation is based on the use of algebraic codes disguised as the so-called random code [1], [2]. CCS allow integrally to implement fast cryptographic data conversion and ensure the reliability of the transmitted data based on noise-resistant coding [3], [4]. Despite the advantages, their use in modern software and hardware is hampered by their practical implementation with the required level of cryptographic strength, and withstanding the attack of V.M. Sidelnikov on the basis of linear-fractional transformations allowing to open a private key (generating and / or verification matrix, depending on the McEliece or Niederreiter crypto-code system) [5]. At the same time, according to experts from NIST USA, these crypto-code designs can provide the required level of protection and are able to withstand modern threats. This is confirmed by the participation of the McEliece crypto code construction in the NIST contest for post-quantum cryptography algorithms.

Analysis of the last researches and publications. The development of computing capabilities in recent years, and in the first place, the creation of full-scale quantum computers, has jeopardized the use of classical mechanisms of not only symmetric cryptography, public key cryptography (including algorithms using the theory of elliptic curves), but also algorithms for providing authenticity services based on MDC and MAC codes, specialized hash functions [1], [3], [6], [7]. In the face of modern threats and the use of cryptanalysis algorithms using full-scale quantum computers, the use of the SHA-3 algorithm and the winning algorithms of the NESSIE European cryptographic contest in authentication and digital signature algorithms is questioned because of the possibility of hacking. Under such conditions, an increase in the level of cryptographic stability can lead to an increase in the length of key sequences and a decrease in the rate of cryptographic transformations. The use of the UMAC algorithm with the formation of the substrate of the third layer based on MASH-2 leads to an increase in the level of stability, collisions, but also to a decrease in the conversion speed [8], which is an indirect confirmation of the possibility of reducing the speed of crypto conversions in the conditions of post-quantum cryptography. An important task is to increase the speed of cryptocinversions while ensuring the required level of cryptographic stability of this algorithm. In [3], [4], practical algorithms for crypto-code constructions are considered, which provide their practical implementation by reducing the power of the alphabet. Their application in the UMAC algorithm will not only provide the required level of cryptographic stability of the generated hash code, but also preserve its versatility.

**Research problem –** investigation of the possibility of using McEliece crypto-code constructions with elliptic codes (modified, flawed codes) based on a practical example in the UMAC algorithm.

#### 2. Constructing a modified UMAC algorithm using the McEleice CCC

In [9], [10], a mathematical model and a structural diagram of the hash code generation in the UMAC algorithm were considered using, as an algorithm for forming a substrate (a pseudorandom sequence that provides the hash code cryptographic stability), the McEliese crypto code construction using elliptic codes (EC) (modified elliptical codes (MEC), flawed codes). Figure 1 shows a structural diagram of the formation of a modified UMAC algorithm using the McEliece CCC on various algebraic-geometric codes EC, MEC.



Figure 1. The scheme of transmitting a message from the sender to the recipient and checking the integrity of the received through a comparison of the codograms and hash codes using the CCC McEliece at MEC

The use of various algebraic-geometric and multichannel cryptography codes will allow the formation of various lengths hash code and provide the required level of its cryptographic strength. The main steps for generating a hash code are considered in [10].

Lets consider the practical implementation of the modified UMAC algorithm using the McEliece CCC in the EC using an example.

#### 2.1. Hash code generation in the UMAC algorithm

The creation of a hash for an open message is carried out in parallel with the formation of the codogram, but we will describe the computational transformations according to these actions in sequence.

universal hash-function value (UHASH-hash) of first level hashing
hash-function value (Carter-Wegman-hash) of third level hashing
data block
data block length (bytes)
secret key
secret key length (32 bytes)
integrity and authenticity control code
secret key of the first hashing level, consisting of subkeys $K_1, K_2,,$
$K_n$
second-level hash secret key consisting of keys $K_{L31}$ (subkeys $K_1, K_2$ ,
$, K_n$ ) and $K_{L32}$ (subkeys $K_1, K_2,, K_n$ )
length of the transmitted plaintext array <i>i</i>
pseudo random key sequence
pseudo-random key sequence length (number of subkeys)
subkey number
transmitted plaintext (k- bit information vector over $GF(q)$ )
bitwise summation

#### Table 1. Input data

Implementation:

According to the structural scheme of iterative formation *Y*, *Pad* and *Tag* for an open sender message using an UMAC algorithm [9], [10] we distinguish the following calculation steps:

## 2.1.1. 1st layer formation

UHASH-hash function value of the first level hashing  $Y_{L1M}$  we will calculate by the formula:

$$Y_{L1I} = Hash_{L1}\left(K_{L1I}, I\right) \tag{1}$$

To form  $K_{L1I}$ , we will imagine it as a key sequence of four-byte subunits:

$$K_{L1I} = K_{1I} \parallel K_{2I} \parallel \dots \parallel K_{nI}$$
<sup>(2)</sup>

where  $\|-$  concatenation (joining) of strings corresponding to subkeys. The amount of subkey data depends on the values *Numbyte* and *Blocklen*:

$$n = \left\lfloor \frac{Numbyte}{Blocklen} \right\rfloor = \frac{1024 + 16 \times 3}{32} = \frac{1072}{32} = 33, 5 \approx 33 \implies i = 1, 2, ..., 33.$$

Insofar as $T_i$	= Index   i ,	then for	r the first	layer	Index =1,	$\Rightarrow T_i$ :
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$T_I = 1 \parallel 1 = 00000001 \ 00000001 => K_{II}$	$T_{17} = 1 \parallel 17 = 00000001 \ 00010001 => K_{171}$
$T_2 = 1 \parallel 2 = 00000001 \ 00000010 \Longrightarrow K_{2I}$	$T_{18} = 1 \parallel 18 = 00000001 \ 00010010 => K_{18I}$
$T_3 = 1 \parallel 3 = 00000001 \ 000000011 => K_{3I}$	$T_{19} = 1 \parallel 19 = 00000001 \ 00010011 => K_{191}$
$T_4 = 1 \parallel 4 = 00000001 \ 000000100 => K_{4I}$	$T_{20} = 1 \parallel 20 = 00000001 \ 00010100 => K_{20I}$
$T_5 = 1 \parallel 5 = 00000001 \ 000000101 => K_{5I}$	$T_{21} = 1 \parallel 21 = 00000001 \ 00010101 => K_{211}$
$T_6 = 1 \parallel 6 = 00000001 \ 000000110 \Longrightarrow K_{6l}$	$T_{22} = 1 \parallel 22 = 00000001 \ 00010110 \Longrightarrow K_{22I}$
$T_7 = 1 \parallel 7 = 00000001 \ 000000111 => K_{71}$	$T_{23} = 1 \parallel 23 = 00000001 \ 00010111 => K_{23I}$
$T_8 = 1 \parallel 8 = 00000001 \ 000001000 => K_{8I}$	$T_{24} = 1 \parallel 24 = 00000001 \ 00011000 => K_{24I}$
$T_9 = 1 \parallel 9 = 00000001 \ 000001001 => K_{9I}$	$T_{25} = 1 \parallel 25 = 00000001 \ 00011001 => K_{25I}$
$T_{10} = 1 \parallel 10 = 00000001 \ 00001010 => K_{101}$	$T_{26} = 1 \parallel 26 = 00000001 \ 00011010 \Longrightarrow K_{26I}$
$T_{11} = 1 \parallel 11 = 00000001 \ 00001011 => K_{111}$	$T_{27} = 1 \parallel 27 = 00000001 \ 00011011 => K_{271}$
$T_{12} = 1 \parallel 12 = 00000001 \ 00001100 \Longrightarrow K_{121}$	$T_{28} = 1 \parallel 28 = 00000001 \ 00011100 \Longrightarrow K_{28I}$
$T_{13} = 1 \parallel 13 = 00000001 \ 00001101 => K_{131}$	$T_{29} = 1 \parallel 29 = 00000001 \ 00011101 => K_{29I}$
$T_{14} = 1 \parallel 14 = 00000001 \ 00001110 \Rightarrow K_{141}$	$T_{30} = 1 \parallel 30 = 00000001 \ 00011110 \Longrightarrow K_{301}$
$T_{15} = 1 \parallel 15 = 00000001 \ 00001111 => K_{151}$	$T_{31} = 1 \parallel 31 = 00000001 \ 00011111 => K_{311}$
$T_{16} = 1 \parallel 16 = 00000001 \ 00010000 => K_{161}$	$T_{32} = 1 \parallel 32 = 00000001 \ 00100000 => K_{32I}$
	$T_{33} = 1 \parallel 33 = 00000001 \ 00100001 => K_{33I}$

Based on the length *M* of input message (*M*=3 bytes), amount of blocks *T*=1, therefore, the number of subkeys on this layer is the same. Wherein  $K_{L1I} = T_1 = 0000000100000001$ .

The hash-code values of this layer are calculated using the following formula:

$$Y_{L1I} = (I + K_{L1I}) \mod 32$$

$$Y_{L1I} = (0100110 + 10000001) \mod 32 = 111$$
(3)

### 2.1.2. 2-nd layer formation

Since the length of M is less than 1,024 bytes, this level of hashing will not be performed, and we will perform calculations using the hash code of the third level.

#### 2.1.3. 3-rd layer formation

Number of subkeys for  $K_{L31}$  and  $K_{L32}$  also depends on the values *Numbyte* and *Blocklen*.

Formation of  $K_{L31I}$ 

Number of subkeys for  $K_{L31I}$ :

$$n = \left[\frac{Numbyte}{Blocklen}\right] = \frac{64 \times 4}{32} = 8 \implies i = 1, 2, 3, 4, 5, 6, 7, 8$$

Therefore, to form  $K_{L31I}$  we will imagine it as a key sequence of eight four-byte subunits:

$$K_{L31I} = K_{1I} \parallel K_{2I} \parallel K_{3I} \parallel K_{4I} \parallel K_{5I} \parallel K_{6I} \parallel K_{7I} \parallel K_{8I}$$
(4)

For the third layer at *Index* =3, =>  $T_i$ :

$T_I = 3 \parallel 1 = 00000011 \ 0000001 => K_{II}$	$T_5 = 3 \parallel 5 = 00000011 \ 00000101 => K_{5I}$
$T_2 = 3 \parallel 2 = 00000011 \ 00000010 => K_{2I}$	$T_6 = 3 \parallel 6 = 00000011 \ 00000110 => K_{6I}$
$T_3 = 3 \parallel 3 = 00000011 \ 00000011 => K_{3I}$	$T_7 = 3 \parallel 7 = 00000011 \ 00000111 => K_{7I}$
$T_4 = 3 \parallel 4 = 00000011 \ 00000100 => K_{4I}$	$T_8 = 3 \parallel 8 = 00000011 \ 00001000 => K_{8I}$

Formation of  $K_{L32I}$ 

Number of subkeys for  $K_{L32I}$ :

$$n = \left[\frac{Numbyte}{Blocklen}\right] = \frac{4 \times 4}{32} = 0, 5 \approx 1 \Longrightarrow i = 1$$

To form  $K_{L32I}$  we will imagine it as a key sequence of 1 four-byte subunit:

$$K_{L32I} = K_{1I}$$
 (5)

For the third layer at *Index*=4, =>  $T_i$ :

 $T_i = 4 \parallel 1 = 00000100 \ 00000001 => K_{1I}$ 

The hash-code value of the third layer is calculated using the following formula:

$$Y_{L3I} = ((Y_{L1I} \mod (2^{36} - 5)) \mod 2^{32}) xor Y_{L32I} = ((I + K_{1I}) \mod 32) \mod (2^{36} - 5)) \mod 2^{32}) xor Y_{L32I}$$
(6)  
$$Y_{L3I} = ((1 \ 1 \mod (2^{36} - 5)) \mod 2^{32}) xor 00000100 \ 00000001 = 1000000010$$

#### 2.2.1. Formation of the Pad substrate

Input	data	ı:							
$x^3+y$	$^{2}z+$	$yz^2$	=0						algebraic curve over a field $GF(2^2)$
K									secret key
Keyl	en								secret key length (32 bytes)
e=00	100	)200	)						secret error vector weight
									$W_h(\mathbf{e}) \le \mathbf{t} = \left[\frac{d-1}{2}\right]$
V	[1	2							nondegenerate k×k matrix
X =	3	0							
	0	1	0	0	0	0	0	0]	permutation matrix of size $n \times n$
	0	0	0	0	1	0	0	0	
	0	0	0	1	0	0	0	0	
D_	1	0	0	0	0	0	0	0	
<i>P</i> =	0	0	1	0	0	0	0	0	
	0	0	0	0	0	0	0	1	
	0	0	0	0	0	1	0	0	
	0	0	0	0	0	0	1	0	

	Γ	1	0	0	0	0	0	0	0	diagonal 1	natrix eq	ual 1		
		0	2	0	0	0	0	0	0					
		0	0	3	0	0	0	0	0					
л		0	0	0	1	0	0	0	0					
D	=	0	0	0	0	2	0	0	0					
		0	0	0	0	0	3	0	0					
		0	0	0	0	0	0	1	0					
		0	0	0	0	0	0	0	1					
C	Γ	2	2	3	0	1	3	0	1]	generating	g matrix			
G	= [	3	3	2	1	0	2	1	0					
<i>I</i> =1	1									transmitte	d plainte	ext (k-	bit info	rmation
										vector ove	$\operatorname{er} GF(q)$			
Poin	ts o	of a	an a	lgel	brai	с сі	urve	:						
		$P_l$		Ì	$P_2$		$P_3$	1	$P_4$	$P_5$	$P_6$	$P_7$	$P_8$	<i>P</i> 9
X		0			0		0		1	2	3	1	2	3
Y		1			0		1		2	2	2	3	3	3
Ζ		0			1		1		1	1	1	1	1	1

The formation of the substrate on the CCC:

1) Find the public key, which in the McEliece cryptosystem is the matrix [3]:

(8)

2) A cryptogram (codogram) is a n-length vector, which is calculated by the following formula:

$$C_X = I \times G_X^{EC} + e, \tag{9}$$

where vector  $I \times G_X^{EC}$  is the code word of masked code, i.e. belongs to (n,k,d)-code with generating matrix  $G_X^{EC}$ ; vector *e* is a one-time session secret key.

$$C_X = 11 \times \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 3 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 3 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix} \oplus 00100200 = 23023322$$

3) Cipher of generated cryptogram 23023322 is transmitted to the transmission channel to the recipient.

# **2.2.2.** The formation of a pseudo-random lining (substrate) using the PDF function

To ensure the cryptographic stability of the UMAC algorithm at the level of stability of the used cryptographic algorithm, we form a pseudo-random lining  $Pad_{Cx}$  for *I* using *PDF* function:

$$Pad = PDF(K, Nonce, Taglen)$$
(10)

Input data:	
K=0106	secret key
Keylen	secret key length (4 bytes)
Taglen	the length of the integrity control code (authenticity) $Pad_{Cx}$ (4
	bytes)
Nonce	unique number for input message <i>I</i> (8 bytes)
Numbyte	subkey length (equal to Keylen)
Index	subkey number (0)
Cx=23023322	cryptogram

According to the pseudo-random Pad lining formation procedure for *I*, it is necessary to form the following subkey, presented as a function KDF [8–10]:

$$K' = KDF(K, Index, Numbyte)$$
(11)

$$K' = KDF(0106, 0, 4)$$

Pseudo-random Pad lining will look like:

$$Pad = PDF(0106, 8, 4) = 1101010$$

As a result of the substrate formation, various parts of it can be used as an additional initialization vector.

## 3. Hash code verification at the receiver using the UMAC algorithm

Generation of message authentication codes is possible according to the formula [9, 10]:

$$Tag = UMAC(K, I, Nonce, Taglen) = Hash(K, I, Taglen) \oplus$$
$$\oplus PDF(K, Nonce, Taglen) = Y_{L3M} \oplus Pad$$
(12)  
$$Tag = 10000000010 \oplus 1101010 = 10001101100$$

$$Tag = 1000000010 \oplus 1101010 = 10001101100 \tag{13}$$

To generate a summary code of the reliability of the transmitted text, we will use the found value of the hash code  $Y_{L3M}$  (7) and authentication code for codogram *Tag* (13) sender's plaintext:

$$Y = Y_{L3M} \oplus Tag \tag{14}$$

$$Y = 10000000010 \oplus 10001101100 = 1101110 = 110_{10}$$
(15)

# **3.1.** Finding the error vector from the $C_X^*$ cryptogram

To verify the received message based on the verification of hash codes on the receiving side, the authorized user needs to calculate the sender's session key (error vector) to form a pseudo-substrate *Tag*.

Authorized user who knows the secret key K, getting a cryptogram  $C_X^*$ , starts decoding it. Input data:

$C_X = 2$	302	332	22					cryptogram	
K=010	)6								secret key
	0	0	0	1	0	0	0	0	matrix inverse to the permutation
	1	0	0	0	0	0	0	0	matrix $P($ since its determinant is
	0	0	0	0	1	0	0	0	equal to 1, $P^{-1} = P^T$ )
$n^{-1}$	0	0	1	0	0	0	0	0	
P =	0	1	0	0	0	0	0	0	
	0	0	0	0	0	0	1	0	
	0	0	0	0	0	0	0	1	
	0	0	0	0	0	1	0	0_	

	[1	0	0	0	0	0	0	0]	matrix inverse to the diagonal matrix
	0	3	0	0	0	0	0	0	D – unipotent matrix (square matrix,
	0	0	2	0	0	0	0	0	all eigenvalues are equal to 1), which saves weight by Hamming of <i>e</i> vector
$D^{-1} -$	0	0	0	1	0	0	0	0	saves weight by Humming of e vector
<i>D</i> =	0	0	0	0	3	0	0	0	
	0	0	0	0	0	2	0	0	
	0	0	0	0	0	0	1	0	
	0	0	0	0	0	0	0	1	
$v^{-1}$ -	[0	2	]						matrix inverse to a non-degenerate
л –	3	1							matrix x

Implementation:

1) we construct a vector, which is a code word of a code with a generating matrix G, distorted by no more than t bits:

2) we get an error syndrome:

3) we find the polynomial of the error locator  $(\Lambda(x))$ , based on its general presentation:

$$\Lambda(x) = a_{00} + a_{10}x + y = 0$$

$$\begin{bmatrix} S_{00} & S_{10} \\ S_{10} & S_{20} \end{bmatrix} \times \begin{bmatrix} a_{00} \\ a_{01} \end{bmatrix} = \begin{bmatrix} S_{01} \\ S_{11} \end{bmatrix} \dots \begin{bmatrix} 1 & 1 & 1 \\ 1 & 0 & 0 \end{bmatrix} \Rightarrow a_{00} = 0; a_{10} = 1$$
(18)

 $\Lambda(xy) = x + y = 0$  - polynomial error locators

4) we localize the error according to the Chen procedure:

 $\begin{array}{l} P_1(0,0,1) \ \Lambda (x,y) = 0 + 0 = 0 - \text{error} \\ P_2(0,1,1) \ \Lambda (x,y) = 0 + 1 = 1 \\ P_3(1,2,1) \ \Lambda (x,y) = 1 + 2 = 3 \\ P_4(2,2,1) \ \Lambda (x,y) = 2 + 2 = 0 - \text{error} \\ P_5(3,2,1) \ \Lambda (x,y) = 3 + 2 = 1 \\ P_6(1,3,1) \ \Lambda (x,y) = 1 + 3 = 2 \\ P_7(2,3,1) \ \Lambda (x,y) = 2 + 3 = 1 \\ P_8(3,3,1) \ \Lambda (x,y) = 3 + 3 = 0 - \text{error} \end{array}$ 

5) imagine the error in the form of a vector with indications of erroneous positions:  $e'=e_100 \ e_6000e_8$ 

6) finding the multiplicities  $e_1$ ,  $e_4$  and  $e_8$ , solving a system of equations by the formula:

$$S' = H \times e' \tag{19}$$

$$e' = 00020003$$
 (20)

7) as a result, we get a cryptogram  $C'_X$  taking into account the error vector (20):

$$C_X = C_X^* + e' = 00020003 \oplus 22202221 = 22222224$$
 (21)

This codeword is used as the basis for the formation of the substrate according to the UMAC algorithm.

#### 3.2. Hash-codes verification

An authorized user (recipient) generates a hash code using expressions (1) - (15). Verification is carried out by comparison, received from the sender and the generated hash codes. If they coincide, a decision is made that the plaintext received through the open channel is not modified.

# 4. Conclusions

As a result of the research, practical algorithms for generating a hash code and its verification based on the UMAC algorithm using McEliece crypto code constructions on the EC were developed. This mechanism of message authenticity can be used not only on elliptic codes, but also on modified (shortened, and / or elongated) elliptic codes, as well as on flawed codes using hybrid crypto-code constructions. This approach allows the practical implementation of a fast hashing algorithm with a level of strength in post-quantum cryptography.

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